



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5531

SERIAL NUMBER 09/665,305	FILING DATE 09/19/2000 RULE	CLASS 705	GROUP ART UNIT 1762	ATTORNEY DOCKET NO. ICOR-002
-----------------------------	---------------------------------------	--------------	------------------------	------------------------------------

APPLICANTS

Michiya Handa, New York, NY;
 Michael J. Tari, New York, NY;
 Darius Gagne, New York, NY; Neil Chriss, New York, NY;
 Jeffery Larsen, Greenwich, CT;

** CONTINUING DATA *****
none

** FOREIGN APPLICATIONS *****
none

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
 ** 11/16/2000

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY NY	SHEETS DRAWING 12	TOTAL CLAIMS 38 37	INDEPENDENT CLAIMS 4 6
Verified and Acknowledged	Examiner's Signature <i>ab</i> Initials				

ADDRESS
 26137
 PATENT DEPARTMENT
 SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP
 FOUR TIMES SQUARE
 NEW YORK , NY
 10036

TITLE
 Method and system of managing credit for the electronic trading of financial instruments

FILING FEE	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
------------	--	--